

#4

| | | | | | | | |
|--|---|----------------|-----------------------|-------------------------------------|-----------|------------------------------|--|
| FORM PTO-1449 (Modified) | | MAY 18 2001 | | Attorney Docket No.: 19427-004930US | | Application No.: 09/804,677 | |
| LIST OF PATENTS AND PUBLICATIONS FOR | | | | Applicant: Todd C. Mowry | | | |
| APPLICANT'S INFORMATION AND DISCLOSURE | | | | Filing Date: March 12, 2001 | | Group: 2187 | |
| STATEMENT (Use several sheets if necessary) | | | | | | | |
| Reference Designation | | | U.S. PATENT DOCUMENTS | | | Page 1 | |
| Examiner Initial | Document No. | Date | Name | Class | Sub-class | Filing Date (If Appropriate) | |
| GJP 0001 | 4,928,239 | 05/1990 | Baum et al. | 395 | 463 | | |
| GJP 0002 | 5,091,851 | 02/1992 | Shelton et al. | 395 | 455 | | |
| GJP 0003 | 5,396,604 | 03/1995 | DeLano et al. | 395 | 375 | | |
| GJP 0004 | 5,454,091 | 09/1995 | Sites et al. | 395 | 413 | | |
| GJP 0005 | 5,499,355 | 03/1996 | Krishnamohan et al. | 395 | 464 | | |
| GJP 0006 | 5,511,178 | 04/1996 | Takeda et al. | 395 | 452 | | |
| GJP 0007 | 5,530,958 | 06/1996 | Agarwal et al. | 395 | 403 | | |
| GJP 0008 | 5,548,739 | 08/1996 | Yung | 395 | 414 | | |
| GJP 0009 | 5,553,255 | 09/1996 | Jain et al. | 395 | 375 | | |
| GJP 0010 | 5,603,004 | 02/1997 | Kurpanek et al. | 395 | 445 | | |
| GJP 0011 | 5,732,242 | 03/1998 | Mowry | 395 | 463 | | |
| GJP 0012 | 5,737,750 | 04/1998 | Kumar et al. | 711 | 129 | | |
| GJP 0013 | 5,812,996 | 09/1998 | Rubin et al. | 707 | 2 | | |
| GJP 0014 | 5,822,757 | 10/1998 | Chi | 711 | 129 | | |
| GJP 0015 | 5,835,948 | 11/1998 | Olarig et al. | 711 | 128 | | |
| | | | | | | | |
| FOREIGN PATENT DOCUMENTS | | | | | | | |
| | Document No. | Date | Country | Class | Sub-class | Translation (Yes/No) | |
| | | | | | | | |
| OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) | | | | | | | |
| GJP 0016 | Cocke et al., "The evolution of RISC technology at IBM," <u>IBM J. Res. Develop.</u> (1990) 34:4-11 | | | | | | |
| GJP 0017 | Bakoglu et al., "The IBM RISC System/6000 processor: Hardware overview," <u>IBM J. Res. Develop.</u> (1990) 34:12-22 | | | | | | |
| GJP 0018 | Oehler et al., "IBM System/6000 processor architecture," <u>IBM J. Res. Develop.</u> (1990) 34:23-36 | | | | | | |
| GJP 0019 | Lam et al., "The Cache Performance and Optimizations of Blocked Algorithms," <u>Fourth Intern. Conf. on Architectural Support for Programming Languages and Operating Systems</u> , Palo Alto, CA, April 9-11, 1991, pp. 1-12 | | | | | | |
| GJP 0020 | Chi et al., "Compiler Driven Data Cache Prefetching for High Performance Computers," <u>IEEE</u> , New York, NY, Vol. 1, pp. 274-278 (08/94) | | | | | | |
| GJP 0021 | Mowry, <u>Tolerating Latency Through Software-Controlled Data Prefetching</u> , Stanford University Dissertation (March 1994) | | | | | | |
| GJP 0022 | Mowry, <u>Tolerating Latency Through Software-Controlled Data Prefetching</u> , (Slides from Thesis Defense of Todd C. Mowry, July 15, 1993), 13 pages | | | | | | |
| | | | | | | | |
| EXAMINER | | GARY J. PORTKA | | DATE CONSIDERED | | 7/1/02 | |

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.